RISSB Product Proposal (and Prioritisation)



Primary information				
Type of product being suggested:	Guideline			
Title of product being suggested:	Effective group decision making within the Rail Industry			
Date of suggestion:	14/02/19			
Reason for suggestion:	Lack of consistent methodologies or techniques for effective groups of engineers / other SMEs to collaborate effectively and improve the quality of safety decision			
Railway discipline area:	Across all Rail domains - infrastructure, rolling stock, train control, operations, safety			

Objective:

What – standardised techniques and tools to improve group decision making

For whom – Executive level through to technical working groups across an organisations governance structure.

Why – To improve decision making outcomes being influenced by cognitive biases or errors from within the group

Scope:

Groups of rail experts are regularly brought together for the purpose of producing safety-critical recommendations, yet the group decision-making process itself is often little more than a 'free talk' meeting. There is overwhelming scientific evidence that this 'free talk' method allows a number of cognitive biases and errors to creep into group decision making process, potentially tarnishing the quality of the decision(s) the group arrives at, and the recommendations that follow.

This guideline intends to provide decision support frameworks (such as the **Delphi method** or **Analytical hierarchy process**) to maximise the quality of recommendations from groups of experts. This will also include recommendations of appropriate techniques based on ideal group sizes and the expected benefits of group diversity, as well guidance to effectively communicate the group's decisions.

Hazard identification:					
1	Chaotic environment for decision makers	6	Underestimating the probability of adverse safety events in the future		
2	Not having qualified and competent personnel making effective and informed decisions	7			
3	Not considering whole of life implications and having a "siloed" mentality	8			
4	Not considering safety in design during decision process	9			

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Definitions

i A *Guideline* is a set of informative guidance. It is not normative but informative.

A **Code of Practice** is a set of descriptions. It is the "how" one can meet a higher-level requirement (either of a Standard, or a piece of Legislation). It is normative, but by its nature can contain several options about how to achieve compliance with the higher-level requirement. It can also have some informative guidance within it if it is more practical than writing a separate guideline.

A **Standard** is a set of requirements only. It is the "what" must be done to be claim compliance to the standard. It is normative. It can also contain optional and/or supplementary requirements, but they still should be worded as requirements.

Benefits:

<u>Safety</u>

- Allow consideration and eventual reduction of safety risk so far as is reasonably practicable through making informed decisions
- Decrease the risk of errors within decisions which will ultimately affect workers/society
- Improve the well-being of decision makers through a structured process reducing mental anxiety

Interoperability / harmonisation

- Process can be incorporated as part of the organisations professional development and leadership training.
- Will support the governance structure of the organisation
- Audience potentially beyond rail, although intention would be to make guideline rail-specific.

Financial

- Minimal cost for training as it can be incorporated as part of the Competency & Learning framework within an organisation
- Guidelines will provide a framework to allow greater opportunities to drive innovation and allow potential economic benefits
- More effective time management within meetings and in addressing actions and recommendations from meetings.

Environmental

Impacts:

Lack of technical content of guideline. Applicable to all industries, not just rail

Re	Reference / source materials:				
#	Reference / source material	Available from			
1	The IDEA Protocol for Structured Expert Elicitation	Methodsblog.com			
2	The Delphi Method	Rand.org			
3	Use (and abuse) of expert elicitation in support of decision making for public policy	NCBI.gov			

Definitions

ii *Interoperability* is the ability of a process, system or a product to work with other process, systems or products (aka compatible systems through managed interfaces).

iii *Harmonisation* - the act of bringing into agreement so as to work effectively together (aka uniformity of systems).